

DOCKET: CU-4451

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hansjorg Emil CUENI

Serial No.: 10/551,751

Group Art Unit:

Filed: January 9, 2006

Examiner:

For: ROTATING VALVE

THE COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450


REQUEST FOR REFUND OF CHARGE TO DEPOSIT ACCOUNT

Dear Sir:

On March 26, 2007 our Deposit Account No. 12-0400 was charged \$1400 (large-
entity rate) in connection with payment of the issue fee. However, the Applicant
qualifies as a small-entity, and the proper amount that should have been charged, as
indicated on the enclosed copy of the issue fee transmittal, is \$700. We therefore
request a refund and credit to our deposit account in the amount of \$700.

April 18, 2007

Date


Attorney for Applicant
Brian W. Hameder
c/o Ladas & Parry LLP
224 South Michigan Avenue
Chicago, Illinois 60604
(312) 427-1300
Reg. No. 45613

05/01/2007 RCLEMONS 00000006 120400 10551751

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Deposit Account Statement

Page 8 of 11

03/23	2294	11690009	CU-5623	2051	\$65.00	\$33,643.69
03/23	2292	11690009	CU-5623	2311	\$100.00	\$33,543.69
03/23	2291	11690009	CU-5623	2111	\$250.00	\$33,293.69
03/23	976	78823265	3T06500348	7005	\$100.00	\$33,193.69
03/23	542	11689547	CU-5606 WWP/NK	8021	\$40.00	\$33,153.69
03/23	1482	2003349	44-152	7205	\$100.00	\$33,053.69
03/23	1483	2003349	44-152	7201	\$400.00	\$32,653.69
03/23	1484	2003349	44-152	7208	\$100.00	\$32,553.69
03/23	1485	2003349	44-152	7203	\$100.00	\$32,453.69
03/23	3823	11690207	CU-5612 WWP	4011	\$75.00	\$32,378.69
03/23	3824	11690207	CU-5612 WWP	2111	\$250.00	\$32,128.69
03/23	3826	11690207	CU-5612 WWP	2202	\$100.00	\$32,028.69
03/23	3825	11690207	CU-5612 WWP	2311	\$100.00	\$31,928.69
03/23	3893	11690219	CU-5613 WWP	4011	\$75.00	\$31,853.69
03/23	3894	11690219	CU-5613 WWP	2111	\$250.00	\$31,603.69
03/23	3895	11690219	CU-5613 WWP	2311	\$100.00	\$31,503.69
03/23	65	11218934	CU-4403 RJS	2252	\$225.00	\$31,278.69
03/23	4392	11690277	CU-5582 WWP	1011	\$300.00	\$30,978.69
03/23	4394	11690277	CU-5582 WWP	1311	\$200.00	\$30,778.69
03/23	4393	11690277	CU-5582 WWP	1111	\$500.00	\$30,278.69
03/23	246	11614345	CU-5456 ZF	2051	\$65.00	\$30,213.69
03/23	247	11634587	CU-5360 EJC	2051	\$65.00	\$30,148.69
03/23	823	11633172	CU-5255 RJS/NK	8021	\$40.00	\$30,108.69
03/23	158	78894740		6402	\$300.00	\$29,808.69
03/23	854	11690219	CU-5613 WWP/NK	8021	\$40.00	\$29,768.69
03/23	2264	2439940	404009924	7205	\$100.00	\$29,668.69
03/23	2265	2439940	404009924	7208	\$200.00	\$29,468.69
03/26	12	11690207	CU-5612 WWP/NK	8021	\$40.00	\$29,428.69
03/26	52	11232698	CU-4427 WWP/NK	8021	\$40.00	\$29,388.69
03/26	13	10340411	CU-3129 VE	1252	\$450.00	\$28,938.69
03/26	91	11685931	CU-5602 RJS	2201	\$200.00	\$28,738.69
03/26	20	09994283	CU-2732 RJS	1501	\$1,400.00	\$27,338.69
03/26	21	09994283	CU-2732 RJS	1504	\$300.00	\$27,038.69
03/26	22	29254784	CU-4710RJS	1502	\$800.00	\$26,238.69
03/26	23	10551751	CU-4451RJS	1501	\$1,400.00	\$24,838.69
03/26	24	10551751	CU-4451RJS	1504	\$300.00	\$24,538.69
03/26	25	29263382	CU-4968 RJS	1502	\$800.00	\$23,738.69
03/26	28	10747846	CU-3461	1501	\$1,400.00	\$22,338.69
03/26	29	10747846	CU-3461	1504	\$300.00	\$22,038.69
03/26	19	11157745	CU-4288 WWP	1806	\$180.00	\$21,858.69
03/26	3899	11575983	CU-5600 WWP	2631	\$150.00	\$21,708.69
03/26	3902	11575983	CU-5600 WWP	2615	\$125.00	\$21,583.69
03/26	3903	11575983	CU-5600 WWP	2614	\$400.00	\$21,183.69
03/26	3901	11575983	CU-5600 WWP	2633	\$100.00	\$21,083.69
03/26	3900	11575983	CU-5600 WWP	2642	\$200.00	\$20,883.69
03/26	3904	11575983	CU-5600 WWP	2616	\$180.00	\$20,703.69
03/26	2096	2057462	118-023	7205	\$100.00	\$20,603.69
03/26	2097	2057462	118-023	7201	\$400.00	\$20,203.69
03/26	4098	11575987	CU-5614 WWP	2631	\$150.00	\$20,053.69
03/26	4102	11575987	CU-5614 WWP	2614	\$100.00	\$19,953.69
03/26	4103	11575987	CU-5614 WWP	2616	\$180.00	\$19,773.69

PART B - FEE(S) TRANSMITT

Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
 or **Fax (571)-273-2885** **VIA FACSIMILE**

INSTRUCTIONS: This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

26530 7590 01/12/2007

LADAS & PARRY LLP
224 SOUTH MICHIGAN AVENUE
SUITE 1600
CHICAGO, IL 60604

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Brian W. Hameder (Depositor's name)
 (Signature)
March 23, 2007 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/551,751	01/09/2006	Hangjorg Emil Cerni	CU-4451RJS	4409

TITLE OF INVENTION: ROTATING VALVE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	04/12/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
FOX, JOHN C	3753	137-625460

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list.

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **LADAS & PARRY LLP**

2

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

CS ANALYTICS AG

(B) RESIDENCE (CITY and STATE OR COUNTRY)

Beckenried, Switzerland

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee **\$700**
☒ Publication Fee (No small entity discount permitted) **\$300**
☐ Advance Order - # of Copies _____

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number **12-0400** (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims **SMALL ENTITY** status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming **SMALL ENTITY** status: See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____

Date **March 23, 2007**

Typed or printed name **Brian W. Hameder**

Registration No. **45613**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Adjustment date: 05/01/2007 RCLEMONS
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